

STP / SWP FORM

Investment Manager: Tata Asset Management Limited **Trustee:** Tata Trustee Company Limited
Toll Free: 1800 - 209 - 0101, **Fax:** (022) 66315194, **Email:** service@tataamc.com, **Website:** www.tatamutualfund.com



*** To be filled in BLOCK LETTERS (Please strike off section(s) that is (are) not applicable)**

BROKER / AGENT CODE	SUB-BROKER / BANK BRANCH CODE	SUB-BROKER ARN CODE	EUIN CODE	FOR OFFICE USE ONLY (TIME STAMP)

☐ I/We hereby confirm that the EUIN box has been intentionally left blank by me/us as this transaction is executed without any interaction or advice by the employee/relationship manager/sales person of the above distributor/sub broker or notwithstanding the advice of in-appropriateness, if any, provided by the employee/relationship manager/sales person of the distributor/sub broker. Upfront commission shall be paid directly by the investor to the AMFI registered Distributors based on the investors' assessment of various factors including the service rendered by the distributor. (Refer instruction 15 & 16)

Sole / 1st Unitholder Signature / Thumb Impression	2nd Unitholder Signature / Thumb Impression	3rd Unitholder Signature / Thumb Impression
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Request for: ☐ Fresh Registration ☐ Cancellation

Folio No. of 'Transferor' Scheme (for existing Unitholder) / Application No. (for new investor)

Name of the Applicant	PAN# or PEKRN#	KYC is mandatory# Please (✓)
Name of the First/Sole Applicant		Proof Attached ☐
Name of the Second Applicant		Proof Attached ☐
Name of the Third Applicant		Proof Attached ☐

#Please attach Proof. If PAN / PEKRN / KYC is already validated, please don't attach any proof.

Email address: Mobile Number

SYSTEMATIC TRANSFER PLAN (STP)

Name of 'Transferor' Scheme / Plan / Option	(Investor applying under Direct Plan must mention 'Direct' against the Scheme name)
Name of 'Transferee' Scheme / Plan / Option	(Investor applying under Direct Plan must mention 'Direct' against the Scheme name)

Please Select the Transfer Plan: (Any one)

- ☐ Fixed Amount Transfer Plan (FATP) for Rs. In words
☐ Fixed Unit Transfer Plan (FUTP) for Units (Mention the number of Units)
☐ Dividend Transfer Plan (DTP) ☐ Capital Appreciation Transfer Plan (CATP)

Transfer Frequency: Not applicable for DTP

☐ Daily Only from Monday to Friday*	☐ Weekly (Only on Fridays)	☐ Monthly ☐ 1st ☐ 7th ☐ 10th ☐ 20th ☐ 28th Days of the month	☐ Quarterly
Select any one			
In case the day of STP is a non business day the request will be considered for the next business day.			

STP Period: (Not applicable for Dividend Transfer Plan)

Start Period: From
End Period:
OR
Number of Transfers / Installments

* In case any day is a non-business day for any one of the schemes (either STP from or STP to scheme) the STP will be processed as per the matrix provided on our website www.tatamutualfund.com.

SYSTEMATIC WITHDRAWAL PLANS (SWP)

Name of Scheme / Plan / Option	(Investor applying under Direct Plan must mention 'Direct' against the Scheme name)
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Folio No. Name

☐ Fixed Amount Rs. In words ☐ Capital Appreciation

Frequency ☐ Monthly ☐ Quarterly ☐ Half Yearly ☐ Annually (Default)

Withdrawal Date (any date between 01st and 31st) day in words Default 25th

Withdrawal period From to

The Trustee, Tata Mutual Fund

Having read & understood the contents of the Scheme Information Document of the Transferor and Transferee Scheme. I/ We hereby apply for units of the scheme & agree to abide by the terms, conditions, rules & regulations governing the scheme.

Sole / 1st Unitholder Signature / Thumb Impression	2nd Unitholder Signature / Thumb Impression	3rd Unitholder Signature / Thumb Impression
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